

SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION  
(37 C.F.R. § 1.63)



AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.  
I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **MINIMALLY INVASIVE ANNULOPLASTY PROCEDURE AND APPARATUS**, the specification of which is attached hereto unless the following box is checked:

is attached hereto

OR

was filed on 10/10/2000 as United States Application Serial No. 09/686,729 or PCT International Application No. \_\_\_\_.

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States of America listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application Number	Country	Foreign Filing Date (month/day/year)	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number.	Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of the United States application(s), or § 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (month/day/year)	Parent Patent Number <i>(if applicable)</i>

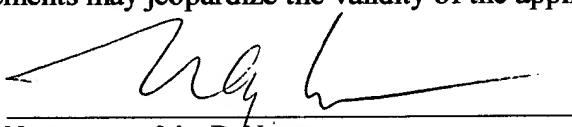
Please direct all communications to:

Harry J. Macey  
P.O. Box 475845  
San Francisco, CA 94147-5845  
Telephone: (415) 929-2544  
Facsimile: (415) 929-2544

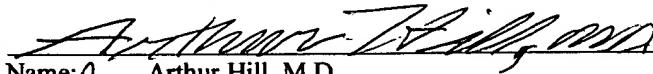
Please direct all telephone calls to Harry J. Macey at (415) 929-2544

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title of 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

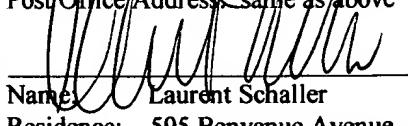
Date: 3/19/02

By:   
Name: John D. Nguyen  
Residence: 4053 Forestwood Drive, San Jose, CA 95121  
Citizenship: USA  
Post Office Address: same as above

Date: 3/21/02

By:   
Name: Arthur Hill, M.D.  
Residence: 2476 20<sup>th</sup> Avenue, San Francisco, CA 94116  
Citizenship: USA  
Post Office Address: same as above

Date: 3/8/02

By:   
Name: Laurent Schaller  
Residence: 595 Benvenue Avenue, Los Altos, CA 94024  
Citizenship: Switzerland  
Post Office Address: same as above